



This document serves as confirmation of the personal & business particulars of

MARTIN NIEMANN

a Representative of Familiae Legatum (Pty) Ltd

Reg. no.: 2024/147928/07
as required by the FAIS Act.
FSP License No. FSP 54212

PERSONAL PARTICULARS OF THE FINANCIAL ADVISOR

Physical Address: Southdowns Ridge Office Park John Vorster Drive Centurion 0062	Postal Address: Southdowns Ridge Office Park John Vorster Drive Centurion 0062	Contact Details: Tel: 083 609 6323 Cell no: 082 339 5121 E-mail: martin@famleg.co.za	
LEGAL STATUS QUALIFICATIONS EXPERIENCE Years' experience in the Financial Services Industry Work related experience	Contracted Representative Post Grad Dip Management; MBA; 1 st Level Regulatory Exam: Key Individuals and Representatives Since 2002 (22 years) Since 1999 (25 years)		
HOLDER OF PROFESSIONAL INDEMNITY INSURANCE	YES		
PRODUCT CATEGORIES CATEGORY I			
The Financial Advisor is authorized to provide advice and intermediary services on the following Category I Product Categories:	1.3 Long-term Insurance Subcategory B1 1.4 Long-term Insurance Subcategory C 1.5 Retail Pension Benefit 1.8 Shares 1.9 Money Market Instruments 1.10 Debentures and Securitised Debt 1.11 Warrants, certificates and other instruments 1.12 Bonds	1.14 Participatory Interests in a collective investment scheme 1.17 Long-term deposits 1.18 Short-term deposits 1.20 Long-term Insurance Subcategory B2 1.21 Long-term Insurance Subcategory B2-A 1.22 Long-term Insurance Subcategory B1-A 1.24 Structured Deposits 1.25 Securities and Instruments	
The Financial Advisor is mandated via Familiae Legatum (Pty) Ltd to act as intermediary on behalf of the following Companies: <i>(Please note that the contact details of the relevant underwriter as well as the applicable office of the Ombudsman will be disclosed on the correspondence of the underwriter.)</i>	MANDATE		
	INVESTMENTS		
	Allan Gray	Wealthport	Glacier
	Glacier International	Momentum	AIMS
	Ninety One LISP	Old Mutual	Sanlam
Causeway	IDAD	ltransact	
<ul style="list-style-type: none">• Earned over 30% of my total commission from one product provider, ltransact.• Do not own > 10% of issued shares directly/indirectly in any Insurer/Product Provider and are not an associated company of any Insurer/Product Provider.			
POPIA	This FSP has evaluated our systems and processes and will ensure that where we collect, process and record your personal information, we will adhere to the requirements of POPIA in a responsible manner. The Information Officer is: Elsje Niemann and can be contacted on elsje@famleg.co.za		
CONFLICT OF INTEREST	This FSP has implemented a Conflict of Interest Management Policy and a Gifts Register that is available for your perusal.		
TREATING CUSTOMERS FAIRLY	This FSP has embedded the 'Treating Customers Fairly' requirements onto the culture of the organization and it is supported by various policies and procedures.		
REMUNERATION	Commission and fees will be disclosed by the Financial Advisor.		
COMPLIANCE AND COMPLAINTS	Kindly direct all complaints in writing to the Complaints Manager: Elsje Niemann – yolandi@famleg.co.za Compliance with the FAIS Act is monitored by Sirius Lex Consulting (Pty) Ltd: Germa Beukes - germa@siriuslex.co.za		
CLAIMS NOTIFICATION PROCEDURE	In the event of a claim, please first contact the Financial Advisor to assist you with submitting a claim. You may also contact the Insurance Company directly.		
COMPLIANCE OFFICER	Sirius Lex (Pty) Ltd is the businesses compliance officer and is represented by: Melani Smit Sirius Lex can be contacted at: PO Box 11396, Silver Lakes, 0054. Tel: (010) 822 2680 E-mail address: melani@siriuslex.co.za ; Website: www.siriuslex.co.za		



**FAMILIAE
LEGATUM**
PROTECT. GROW. CREATE.

NATIONAL FINANCIAL OMBUD: Tel: 0860 800 900 ☎ (0) 66 473 0157 info@nfosa.co.za www.nfosa.co.za	FAIS OMBUDSMAN PO Box 41, MENLYN PARK, 0063 Tel: 012 762 5000 www.faisombud.co.za	FSCA PO Box 35655, MENLOPARK, 0102 Tel: 0800 20 37 22 www.fsc.co.za
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CLIENT ACKNOWLEDGEMENT	
SIGNED	
NAME	

ADVISOR	
SIGNED	
NAME	